

QUESTION:(HD0502). I have a problem with determining the properties of the ring $R = \mathbb{Z}[(1 + \sqrt{-19})/2]$. I suppose that it is a UFD and it is not a Euclidean domain. Also, I suppose that it is a PID. What could you tell me about it?

Answer: The ring $R = \mathbb{Z}[(1 + \sqrt{-19})/2]$ is one of the first known examples of a PID that is not a Euclidean domain. Note that the quotient field of $\mathbb{Z}[(1 + \sqrt{-19})/2]$ is the field $\mathbb{Q}(\sqrt{-19})$ which is an example of a quadratic extension $\mathbb{Q}(\sqrt{d})$ where d is a squarefree nonzero integer (different from 1). Here are a few notes to ease the reading of the answer.

(I) Each element of $\mathbb{Q}(\sqrt{d})$ can be expressed as $x + y\sqrt{d}$ to which we can associate a value called *norm* by $N(x + y\sqrt{d}) = (x + y\sqrt{d})(x - y\sqrt{d}) = x^2 - y^2d$. It is easy to verify that if $u, v \in \mathbb{Q}(\sqrt{d})$ then $N(uv) = N(u)N(v)$.

(II) Note that every $u = x + y\sqrt{d}$ satisfies a quadratic equation: ($u = x + y\sqrt{d} \Rightarrow u - x = y\sqrt{d} \Rightarrow (u - x)^2 = y^2d \Rightarrow u^2 - 2ux + x^2 - y^2d = 0$). This is why $\mathbb{Q}(\sqrt{d})$ is called a quadratic extension of \mathbb{Q} .

(III) If $u = x + y\sqrt{d} \in \mathbb{Q}(\sqrt{d})$ is such that $2x$ and $x^2 - y^2d$ are both integers (i.e. if u satisfies a monic quadratic equation with integer coefficients) then u is called an algebraic integer of $\mathbb{Q}(\sqrt{d})$.

(IV) The set of all algebraic integers of $\mathbb{Q}(\sqrt{d})$ is an integral domain. The following theorems are well known.

(1) Let d be a squarefree integer different from 0 and 1. The set of all algebraic integers $I\mathbb{Q}(\sqrt{d})$ of $\mathbb{Q}(\sqrt{d})$ is $\mathbb{Z}[\sqrt{d}]$ if $d \equiv 2 \pmod{4}$ or $d \equiv 3 \pmod{4}$ and $I\mathbb{Q}(\sqrt{d}) = \mathbb{Z}[(1 + \sqrt{d})/2]$ if $d \equiv 1 \pmod{4}$.

(2) $u \in I\mathbb{Q}(\sqrt{d})$ is a unit if and only if $N(u) = 1$ or -1 .

(V) Of interest is the fact that if $x + y\sqrt{d}$ is an algebraic integer in $\mathbb{Q}(\sqrt{d})$ then $N(x + y\sqrt{d})$ is an integer and of course if $d < 0$ as in our case $N(x + y\sqrt{d}) = x^2 - y^2d$ is a non-negative integer.

(VI) Indeed if $N(x + y\sqrt{d})$ is a prime then the integer $x + y\sqrt{d}$ is irreducible, because of the fact that $N(uv) = N(u)N(v)$.

Now a typical $u \in R = \mathbb{Z}[(1 + \sqrt{-19})/2]$ can be written as $u = x + y(1 + \sqrt{-19})/2$, which has the standard form in $\mathbb{Q}(\sqrt{-19})$ as $u = (x + \frac{y}{2}) + y\sqrt{-19}/2$. So

$N(u) = (x + \frac{y}{2})^2 + 19\frac{y^2}{4} = x^2 + xy + 5y^2$. So, $N(u) = 1 \Leftrightarrow u = \pm 1$.

(VII) You also need to know that if a and b are integers with $b > 0$ then we can choose integers q and r such that $a = bq + r$ such that $|r| \leq \frac{b}{2}$. The idea is simple. By the Euclidean algorithm we have unique Q and R such that $a = bQ + R$, $0 \leq R < b$. If $R \leq \frac{b}{2}$ we have nothing more to do. If on the other hand $\frac{b}{2} < R < b$ then subtracting b throughout gives $-\frac{b}{2} < R - b < 0$. This gives us $|R - b| < \frac{b}{2}$. Setting $q = Q + 1$ and $r = R - b$ we have the required inequality.

Let us first show that R is a principal ideal domain (PID). We shall use Hasse's criterion for PID's for this purpose.

Hasse's Criterion: An integral domain D is a PID if and only if there exists a function $f : D \setminus \{0\} \rightarrow \mathbb{N} \cup \{0\}$ such that (H₁) $x \mid y$ implies $f(x) \leq f(y)$ with equality if $y \mid x$ also, and (H₂) if $x \nmid y$ and $y \nmid x$ then there exist $z, w, d \in D$ such that $d = zx - wy$ with $f(d) < \min(f(x), f(y))$.

Proposition 1. $R = \mathbb{Z}[(1 + \sqrt{-19})/2]$ is a PID.

Proof. Note that the norm N satisfies H_1 obviously. This leaves us with the verification of H_2 . For this, choose y so that $N(y) \leq N(x)$. (If $N(x) \leq N(y)$ we can write $-d = wy - zx$ and switch x and y .) Note that $0 < N(zx - wy) < N(y)$ if and only if $0 < N(z(\frac{x}{y}) - w) < 1$. Here $\frac{x}{y} \in \mathcal{O}(\sqrt{-19})$ and so we can write $\frac{x}{y} = \frac{a+b\sqrt{-19}}{c}$ where a, b, c are integers and we can assume that $(a, b, c) = 1$. Since $y \nmid x$ we must have $c \neq 1$ and as we can take $c > 0$ we can say that $c > 1$. The idea of the proof is that for each value (≥ 2) of c we can find z and w so that $N(z(\frac{x}{y}) - w) < 1$. To do this we first deal with the case when $c \geq 5$.

Since $(a, b, c) = 1$ we can find d, e, f so that $ae + bd + cf = 1$. Let $ad - 19be = cq + r$ where $|r| \leq \frac{c}{2}$. We show that by choosing $z = d + e\sqrt{-19}$ and $w = q - f\sqrt{-19}$ we have the required result for $c \geq 5$. Substituting these values we have $z(\frac{x}{y}) - w = \frac{(d+e\sqrt{-19})(a+b\sqrt{-19})}{c} - (q - f\sqrt{-19})$
 $= \frac{(ad-19be)+(ae+bd)\sqrt{-19}-cq+cf\sqrt{-19}}{c} = \frac{r+(ae+bd+cf)\sqrt{-19}}{c} = \frac{r+\sqrt{-19}}{c} \neq 0$, and $N(\frac{r+\sqrt{-19}}{c}) = \frac{r^2+19}{c^2}$. For $c = 5$, recall that $|r| \leq \frac{c}{2}$, so $r \leq 2$ and $\frac{r^2+19}{c^2} \leq \frac{4+19}{25} < 1$. For the case of $c \geq 6$ using $|r| \leq \frac{c}{2}$ directly we have $N(\frac{r+\sqrt{-19}}{c}) = \frac{r^2+19}{c^2} \leq \frac{1}{4} + \frac{19}{36} < 1$. This leaves us with $c = 2, 3, 4$. We deal with each separately.

(i) $c = 2$. In this case a and b must have different parity and a must be odd. Because a and b being both even contradicts $(a, b, c) = 1$ and a and b being both odd gives

$\frac{x}{y} = \frac{a+b\sqrt{-19}}{2} = \frac{a-b+b(1+\sqrt{-19})}{2} \in R$, contradicting $y \nmid x$. Also, a being even puts $\frac{x}{y} = \frac{a+b\sqrt{-19}}{2} \in R$, again contradicting $y \nmid x$. Now let $z = 1$ and $w = \frac{a-1+b\sqrt{-19}}{2}$ which are elements of R . Then $z(\frac{x}{y}) - w = \frac{a+b\sqrt{-19}}{2} - \frac{a-1+b\sqrt{-19}}{2} = \frac{1}{2}$ and $N(\frac{1}{2}) < 1$.

(ii) $c = 3$. If $c = 3$, $(a, b, c) = 1$ implies that $a^2 + 19b^2 \equiv a^2 + b^2 \equiv r \pmod{3}$ where $r = 1, 2$. Set $z = a - b\sqrt{-19}$ and $w = q$ where q comes from $a^2 + 19b^2 = 3q + r$ with $r = 1, 2$. Then, in this case, $z(\frac{x}{y}) - w = (a - b\sqrt{-19})(\frac{a+b\sqrt{-19}}{3}) - q = \frac{a^2+19b^2-3q}{3} = \frac{3q+r-3q}{3} = \frac{r}{3}$ which is nonzero with norm less than 1.

(iii) $c = 4$. In this case a and b are not both even because $(a, b, 4) = 1$. If a and b are of opposite parity then since $a^2 + 19b^2 \equiv (a^2 - b^2) \pmod{4}$ we have $a^2 + 19b^2 = 4q + r$ where $0 < r < 4$. Set $z = a - b\sqrt{-19}$ and $w = q$ we have $z(\frac{x}{y}) - w = \frac{a^2+19b^2}{4} - q = \frac{r}{4}$ which is nonzero with norm less than 1. If on the other hand a and b are both odd then $a^2 + 19b^2 \equiv (a^2 + 3b^2) \pmod{8}$ and $(a^2 + 3b^2) \not\equiv 0 \pmod{8}$.

Call a nonzero nonunit d a universal side divisor (usd) of a domain D if for each $x \in D$ there is a $z \in U(D) \cup \{0\}$ such that $d \mid x - z$. (Here $U(D)$ denotes the set of units of D .) Recall also that an integral domain D is a Euclidean domain if there is a function $\varphi : D \setminus \{0\} \rightarrow \mathbb{N} \cup \{0\}$, let us call φ a norm, such that for all $y \in D \setminus \{0\}$ (i) $\varphi(x) \leq \varphi(xy)$, for all $x \in D \setminus \{0\}$ and (ii) for each pair $x, y \in D \setminus \{0\}$ there are z, w such that $x = yz + w$ where $w = 0$ or $\varphi(w) < \varphi(y)$.

Observation 2. If D is a Euclidean domain that is not a field then D contains a usd for each norm φ , $R = \mathbb{Z}[(1 + \sqrt{-19})/2]$ does not have a usd and hence is not a Euclidean domain under any norm.

Proof. Let φ be a norm for which D is Euclidean. Then, as D is not a field, D has a

non-zero nonunit u with a minimal norm. Now let y be an element of D and write $y = qu + r$ where $r = 0$ or $\varphi(r) < \varphi(u)$. But as $\varphi(u)$ is minimal we cannot have $\varphi(r) < \varphi(u)$ unless $r = 0$ or a unit and in either case u divides $y - r$. Now we show that $R = \mathbb{Z}[(1 + \sqrt{-19})/2]$ cannot have a usd and hence is not Euclidean.

Note that -1 and 1 are the only units of $R = \mathbb{Z}[(1 + \sqrt{-19})/2]$. So the set of zeros and units combined is $\{0, -1, 1\}$. If u is a usd for R then u must divide at least one of $2 \pm 0, 2 \pm 1$, i.e., at least one of $2, 1, 3$. Of these 1 is out because u is not a unit. So, the set from where the universal side divisors can come is $S = \{2, -2, 3, -3\}$. Note that as 2 and 3 are irreducible in the PID R they are primes. Now consider $x = \frac{1 + \sqrt{-19}}{2}$. If there is a usd then it must also divide at least one of $T = \left\{ \frac{1 + \sqrt{-19}}{2}, \frac{1 + \sqrt{-19}}{2} \pm 1 \right\} = \left\{ \frac{1 + \sqrt{-19}}{2}, \frac{3 + \sqrt{-19}}{2}, \frac{-1 + \sqrt{-19}}{2} \right\}$, but these are all primes because $N\left(\frac{1 + \sqrt{-19}}{2}\right) = \frac{1 + 19}{4} = 5$, $N\left(\frac{3 + \sqrt{-19}}{2}\right) = \frac{9 + 19}{4} = 7$ and $N\left(\frac{-1 + \sqrt{-19}}{2}\right) = 5$. So, no member of S divides any member T and hence there is no usd. Since R is a PID and since S and T consist of primes the above argument is norm independent.

Comment: Jim Coykendall provided a rough sketch of the proof of R being non-Euclidean PID. I used the following paper to complete the picture: Jack C. Wilson, "A principal ideal ring that is not a Euclidean ring" Math. Mag. 46 (1973), 34–38. Muhammad Zafrullah