

QUESTION: (HD 1210) What should we expect from an ideal  $A$  of grade 1 with  $A_t = D$ ?

ANSWER: I wrote a short note a while ago. It is sort of incomplete, but hopefully it will answer your question.

Let  $D$  be an integral domain with quotient field  $K$ . Let  $F(D)$  denote the set of nonzero fractional ideals of  $D$  and denote, for  $A \in F(D)$ , the set  $D :_K A = \{x \in K : xA \subseteq D\}$  by  $A^{-1}$ . It is well known that for  $A \in F(D)$ ,  $A^{-1}$  is a fractional ideal and of course so is  $A_v = (A^{-1})^{-1}$ . The function  $v$  on  $F(D)$  defined by  $A \mapsto A_v$  is called the  $v$ -operation (see section 34 of Gilmer [G]). By an ideal we mean a fractional ideal contained in  $D$ . Call two elements  $a, b \in D \setminus \{0\}$   $v$ -coprime if  $(a, b)_v = D$ . It is easy to show that  $a, b$  are  $v$ -coprime if and only if  $(a, b)^{-1} = D$  if and only if  $aD \cap bD = abD$ . This notion started with the paper of Gilmer and Parker [GP] and is stronger than the notion of coprimality, as indicated by Zafrullah [Z]. H. Uda, in section 7 of [U] gave an example of an ideal  $A$  such that  $G(A) = 1$  but  $A_t = D$ . The purpose of this short note is to explore the properties a finitely generated nonzero proper ideal  $A$  must have if  $A_v = D$  and  $G(A) = 1$ . Here  $G(A)$  denotes the classical grade of  $A$  and it is known, as shown below, that if  $A$  is a nonzero proper ideal in a Noetherian domain  $D$  then  $A_v = D$  if and only if  $G(A) \geq 2$ . We actually show that for a nonzero ideal  $A$  in  $D$   $G(A) \geq 2$  if and only if there are two  $v$ -coprime elements  $a, b \in A$  and that in a so called TV domain (to be defined later) which generalizes Noetherian domains,  $G(A) \geq 2$  if and only if  $A$  contains a pair of  $v$ -coprime element.

Proposition A. Let  $A$  be a nonzero proper ideal in a domain  $D$  and let  $G(A)$  denote the classical grade of  $A$ . Then  $G(A) \geq 2$  if and only if  $A$  contains a pair of  $v$ -coprime elements.

Let's now include the tools needed to prove the above proposition, and prove it. A sequence of elements  $x_1, x_2, \dots, x_n$  in a ring  $R$  is called a regular sequence or an  $R$ -sequence if (a)  $(x_1, x_2, \dots, x_n)R \neq R$ , (b)  $x_1$  is a nonzero divisor on  $R$  and  $x_i$  is a nonzero divisor on  $R/(x_1, x_2, \dots, x_{i-1})$  for  $i > 1$ . The classical grade of an ideal  $I$  is the (maximum) length of an  $R$ -sequence in  $I$ . I have picked these notions from Kaplansky's book [K]. According to Theorem 116 of Kaplansky [K]  $x_1, x_2, \dots, x_n$  is an  $R$ -sequence on a ring  $R$  if and only if for each  $i < n$ ,  $x_1, \dots, x_i$  is an  $R$ -sequence and  $x_{i+1}, \dots, x_n$  is an  $R$ -sequence on  $R/(x_1, \dots, x_i)$ . So, if  $x_1, x_2, \dots, x_n$  is an  $R$  sequence on  $R$  and  $n \geq 2$ , then  $x_1, x_2$  is an  $R$ -sequence. Now let  $a, b, \dots$  be an  $R$ -sequence on an integral domain  $D$  then  $a$  is a nonzero divisor on  $D/(b)$  which means that  $b \mid ax$  implies  $b \mid x$ . This in turn means that if  $y \in aD \cap bD$  then  $y \in abD$  which means that  $aD \cap bD = abD$  i.e.  $(a, b)_v = D$ . Thus we have the following Lemma

Lemma B. In an integral domain  $D$ , the first two elements of every  $R$ -sequence of length  $> 1$  are  $v$ -coprime.

Now if  $I$  is a proper ideal of  $D$  and if  $I$  contains a pair of  $v$ -coprime elements  $a, b$ . Then as  $aD \cap bD = abD$  we have from  $b \mid ax$  that  $ax \in aD \cap bD = abD$  which means that  $ax = aby$  cancelling  $a$  from both sides we have  $b \mid x$ . So if  $a, b$  are  $v$ -coprime,  $b \mid ax$  implies  $b \mid x$  and so  $b$  is a nonzero divisor on  $D/(a)$

and  $a, b$  is an  $R$ -sequence in  $D$ . Of course by Theorem 116 of [K] again, we can construct an  $R$ -sequence contained in  $I$  by selecting  $x_3 \in I$  such that  $x_3$  is a nonzero divisor in  $D/(a, b)$  and so on ... . This gives us the following Lemma.

Lemma C. Let  $I$  be an ideal of an integral domain  $D$  such that  $I \subsetneq D$ . If  $I$  contains a pair of  $v$ -coprime elements then  $G(I) \geq 2$ .

The two lemmas above constitute the proof of Proposition A. Next, using some properties of the  $v$ -operation, we indicate what it means to say that for a proper ideal  $I$  of a domain  $D$ ,  $G(I) \geq 2$ .

Corollary D. Let  $I$  be a proper nonzero ideal of an integral domain  $D$ . Then the following are equivalent.

- (1) There exist two elements  $a, b \in I$  such that  $(a, b)_v = D$ .
- (2) There exist two elements  $a, b \in I$  such that  $I_v = (a, b)_v = D$ .
- (3) There exist two elements  $a, b \in I$  such that  $I^{-1} = (a, b)^{-1} = D$
- (4) There exist two elements  $a, b \in I$  such that  $(a, b)^{-1} = D$ .
- (5) There exist two elements  $a, b \in I$  such that  $aD \cap bD = abD$ .
- (6)  $G(I) \geq 2$ .

Proof. (1)  $\Rightarrow$  (2). All we need to note is that if  $I \subsetneq D$  is an ideal then  $I_v \subseteq D_v = D$  and that  $(a, b) \subseteq I$  implies  $(a, b)_v \subseteq I_v$ . So we have  $D = (a, b)_v \subseteq I_v \subseteq D$ . Of course (2)  $\Rightarrow$  (1) is obvious and (3)  $\Leftrightarrow$  (2) because for any nonzero fractional ideal  $A$  we have  $(A_v)^{-1} = A^{-1}$  and  $A_v = (A^{-1})^{-1}$  and for the same reason (1)  $\Leftrightarrow$  (4). Again (4) and (5) are equivalent because they are the restatements of each other. That (1)  $\Leftrightarrow$  (6) is Proposition A.

The "properties" of the  $v$ -operation used above can be verified from the definition or the reader may look up sections 32 and 34 of [G]. However we provide some introduction here so that the reader does not need to refer to [G] for minor details.

A *star operation*  $*$  on  $D$  is a function  $*$ :  $F(D) \rightarrow F(D)$  such that for all  $A, B \in F(D)$  and for all  $0 \neq x \in K$

- (a)  $(x)^* = (x)$  and  $(xA)^* = xA^*$ ,
- (b)  $A \subseteq A^*$  and  $A^* \subseteq B^*$  whenever  $A \subseteq B$ , and
- (c)  $(A^*)^* = A^*$ .

For  $A, B \in F(D)$  we define  $*$ -multiplication by  $(AB)^* = (A^*B)^* = (A^*B^*)^*$ . A fractional ideal  $A \in F(D)$  is called a  $*$ -ideal if  $A = A^*$  and a  $*$ -ideal  $A$  is of *finite type* if  $A = B^*$  where  $B$  is a finitely generated fractional ideal. A star operation  $*$  is said to be of *finite character* if  $A^* = \bigcup \{B^* \mid 0 \neq B \text{ is a finitely generated subideal of } A\}$ . For  $A \in F(D)$  define  $A^{-1} = \{x \in K \mid xA \subseteq D\}$  and call  $A \in F(D)$   $*$ -invertible if  $(AA^{-1})^* = D$ . Clearly every invertible ideal is  $*$ -invertible for every star operation  $*$ . If  $*$  is of finite character and  $A$  is  $*$ -invertible, then  $A^*$  is of finite type. The best known examples of star operations are the  $d$ -operation defined by  $A \mapsto A_d = A$ , the  $v$ -operation defined by  $A \mapsto A_v = (A^{-1})^{-1}$ , and the  $t$ -operation defined by  $A \mapsto A_t = \bigcup \{B_v \mid 0 \neq B \text{ is a finitely generated subideal of } A\}$ .

In some integral domains  $D$ , such as in Krull domains, given an ideal  $I$  and an element  $a \in I$  there is an element  $b \in I$  such that  $I_v = (a, b)_v$  (see Mott and

Zafrullah [MZ]). Taking a hint from Theorem 2.2 of Anderson and Zafrullah [AZ], we state and prove the following result.

Proposition E. Let  $A$  be a proper finitely generated ideal of an integral domain  $D$ . Suppose that  $A$  contains a nonzero element  $a$  which belongs to at most a finite number of maximal  $t$ -ideals. Then  $G(A) \geq 2$  if and only if  $A^{-1} = D$ .

Proof. Note that if  $G(D) \geq 2$  then  $A^{-1} = D$ . So all we need to prove is the converse. For that let  $Q_1, Q_2, \dots, Q_n$  be the set of all the maximal  $t$ -ideals of  $D$  that contain  $a$ . Since  $A$  is finitely generated and  $A_v = D$  we conclude that  $A$  is not contained in any maximal  $t$ -ideal  $M$  of  $D$ . In particular  $A \not\subseteq Q_1 \cup Q_2 \cup \dots \cup Q_n$ . Choose  $b \in A \setminus Q_1 \cup Q_2 \cup \dots \cup Q_n$  and proceed as follows. We have  $(a, b) \subseteq A$ . Now for any maximal  $t$ -ideal  $M$  of  $D$  we have  $(a, b)D_M = D_M = AD_M$  if  $M$  is one of  $Q_i$  (because of  $b$ ) and we have  $(a, b)D_M = D_M = AD_M$  if  $M \notin \{Q_1, Q_2, \dots, Q_n\}$  because of  $a$ . Thus we have  $D = \bigcap_{M \in t\text{-max}(D)} D_M = \bigcap_{M \in t\text{-max}(D)} (a, b)D_M = \bigcap_{M \in t\text{-max}(D)} AD_M$  which implies that  $(a, b)_v = A_v$  (see Griffin [Gr]) where  $a, b \in A$  and this by Proposition A means that  $G(A) \geq 2$ .

Corollary F. (1). Let  $M$  be a non-maximal maximal  $t$ -ideal of  $D$  and let  $x$  be a nonzero nonunit element of  $D \setminus M$ . If  $x$  belongs to at most a finite number of maximal  $t$ -ideals then there exists an element  $y \in M$  such that  $D = (M, x)_t = (x, y)_v$ , i.e.,  $G(M, x) \geq 2$ , if  $(M, x)$  is proper. Consequently if  $M$  is a maximal  $t$ -ideal in a domain of finite  $t$ -character and  $x$  is a nonzero nonunit of  $D$  with  $x \in D \setminus M$ , with  $(M, x) \neq D$  then  $G(M, x) \geq 2$ .

(2). Let  $M$  be a non-maximal maximal  $t$ -ideal of  $D$  and let  $(M, x) \neq D$ . If there is a nonzero  $y \in (M, x)$  such that  $y$  is contained in at most a finite number of maximal  $t$ -ideals then  $G(A) \geq 2$ .

Proof. Since  $D = (M, x)_t$  there exist  $x_1, x_2, \dots, x_n \in (M, x)$  such that  $(x_1, x_2, \dots, x_n)_v = D$ . Since  $x \in (x_1, x_2, \dots, x_n)_v$  we can write  $(x_1, x_2, \dots, x_n)_v = (x_1, x_2, \dots, x_n, x)_v = D$ . The ideal  $(x_1, x_2, \dots, x_n, x)$  meets the requirements of Proposition E and so there is  $y \in (x_1, x_2, \dots, x_n, x)$  such that  $D = (x_1, x_2, \dots, x_n)_v = (x_1, x_2, \dots, x_n, x)_v = (x, y)_v$ . Now as  $y \in (x_1, x_2, \dots, x_n, x)$  and as  $x_i = m_i + \alpha_i x$ , where  $m_i \in M$  and  $\alpha_i \in D$ , we have  $y = m + \alpha x$  where  $m \in M$  and  $\alpha \in D$ . So we have  $D = (x_1, x_2, \dots, x_n, x)_v = (m + \alpha x, x)_v = (m, x)_v$ . But that means  $G(M, x) \geq 2$ .

(2) is a direct corollary of Proposition E.

Recall that an integral domain  $D$  is a Mori domain if  $D$  satisfies ACC on integral divisorial ideals. So Noetherian domains are Mori domains and it is well known that a Mori domain is of finite  $t$ -character see, e.g., Proposition 1.4 Houston and Zafrullah [HZ]. In [HZ] the authors introduced TV-domains as those domains whose  $t$ -ideals are  $v$ -ideals. It was shown in [HZ] that a TV-domain is of finite  $t$ -character; a Mori domain is a TV-domain.

Corollary G. If  $D$  is a TV-domain and  $A$  is a finitely generated proper nonzero ideal then  $A^{-1} = D$  if and only if  $G(A) \geq 2$ . Consequently in a Mori domain (or in a Noetherian domain), for a nonzero proper ideal  $A$ ,  $A^{-1} = D$  if

and only if  $G(A) \geq 2$ .

To my knowledge, the above corollary is only known for Noetherian domains.

Corollary H. If  $A$  is a nonzero proper ideal of a domain  $D$  such that  $A_t = D$  and  $G(A) = 1$  then there is no nonzero element  $a$  in  $A$  such that  $a$  is contained in at most a finite number of maximal  $t$ -ideals.

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