

ROBERT GILMER Department of Mathematics, Florida State University, Tallahassee, FL 32306-3027 USA. email: gilmer@math.fsu.edu.

JOE MOTT Department of Mathematics, Florida State University, Tallahassee, FL 32306-3027 USA. email: mott@math.fsu.edu.

MUHAMMAD ZAFRULLAH* Department of Mathematics, Winthrop College, Rock Hill, SC 29733 USA.

Let D be an integral domain with quotient field K and let $F(D)$ denote the set of nonzero fractional ideals of D . For $A \in F(D)$ we define $A^{-1} = \{x \in K \mid xA \subseteq D\}$. An ideal $A \in F(D)$ is t -invertible if (i) $(AA^{-1})^{-1} = D$, (ii) there exists $x_1, x_2, \dots, x_n \in A$ such that $(x_1, \dots, x_n)^{-1} = A^{-1}$, and (iii) $A^{-1} = ((y_1, \dots, y_m)^{-1})^{-1}$ for some $y_1, \dots, y_m \in K$. The notion of t -invertibility has been useful in characterizing Krull domains in various ways [HZ], [J], [K] and [MZ].

Houston and Zafrullah in [HZ] discussed situations in which t -invertibility arises naturally. They showed that if a nonzero integral ideal A of a polynomial ring $D[X]$ contains a polynomial f with $Af^{-1} = D$, then A is t -invertible. Here A_f denotes the content of $f(X)$. This result led us to ask, in a general setting, what nonzero elements x of a domain D are t -invertibility elements of D in the sense that each ideal of D containing x is t -invertible. We obtain a characterization of such elements (and more generally, of the so-called t -invertibility ideals of D ; see Section 1 for the definition) in Theorem 1.3. The proof of (1.3) uses some of the following terminology and results; our main references are [G, Sections 32, 34] and [AA].

I. A star operation is a function $F \rightarrow F^*$ from $F(D)$ to $F(D)$ with the following properties: if $A, B \in F(D)$ and $a \in K \setminus \{0\}$, then

- (i) $(a)^* = (a)$ and $(aA)^* = aA^*$.
- (ii) $A \subseteq A^*$ and if $A \subseteq B$, then $A^* \subseteq B^*$.
- (iii) $(A^*)^* = A^*$.

The mapping $A \rightarrow (A^{-1})^{-1} = A_v$ on $F(D)$ is a star operation called the v -operation on D . Similarly, $A \rightarrow A_t = \cup F_v$, where F ranges over the set of finitely generated

* The third author spoke at the Fez Conference on a preliminary version of this paper, entitled t -Invertibility. This paper extends results of that preliminary version.

nonzero subideals of A , is a star operation called the t -operation on D . The identity mapping $F \rightarrow F$ on $F(D)$ is a star operation called the d -operation on D . A star operation $F \rightarrow F^*$ is said to be of finite character if $A^* = \cup_i A_i^*$ for each $A \in F(D)$, where $\{A_i\}$ is the family of nonzero finitely generated fractional ideals of D contained in A . Given any star operation $F \rightarrow F^*$ on D , the function $A \rightarrow A^* = \cup_i A_i^*$, where $\{A_i\}$ is the family of nonzero finitely generated fractional ideals of D contained in A , is a star operation of finite character on D , and $B^* = B^*$, for each finitely generated $B \in F(D)$. The d -operation on D is of finite character, and the t -operation is the v_p -operation.

II. For all $A, B \in F(D)$ and for each star operation \star , $(AB)^* = (A^*B^*)^*$, $(A^{-1})^* = A^{-1}$, and $(A^*)^v = A_v = (A_v)^*$.

III. An ideal A of D is said to be a \star -ideal, for a given star operation \star , if $A^* = A$. Thus from II above A^*, A^{-1} and A_v are \star -ideals. Likewise we define v -ideals and t -ideals and note that $A^* \subseteq A_v$ for any star operation \star .

IV. An integral ideal A of D is said to be a maximal \star -ideal, for a given star operation \star , if $A \neq D$ and A is maximal with respect to being a \star -ideal. If \star is of finite character, then every proper integral \star -ideal is contained in a maximal \star -ideal. A maximal \star -ideal is necessarily a prime ideal [J, p. 30].

V. Let $\{D_i\}_{i \in I}$ be a family of overrings of D such that $D = \bigcap_{i \in I} D_i$. Then the operation on $F(D)$ defined by $A \mapsto \bigcap AD_i$ is a star operation. This star operation is said to be induced by $\{D_i\}_{i \in I}$ [G], [A].

VI. An ideal $A \in F(D)$ is \star -invertible if there exists $B \in F(D)$ such that $(AB)^* = D$. In this case, $B^* = A^{-1}$. This yields the definitions of v -invertibility and t -invertibility of A . The definition of the t -operation implies that if A is t -invertible, then there exists a finitely generated ideal B contained in AA^{-1} such that $B_t = B_v = D$; hence the definition of t -invertibility in the first paragraph.

In Section 2 we consider the notion of a comparable element of a domain D , as defined by Anderson and Zafrullah in [AZ2] (the definition is that $d \in D \setminus (0)$ is comparable if (d) compares with each ideal of D under inclusion). Theorem 2.3 characterizes domains that contain a nonunit comparable element. In Section 3 we relate the concepts of t -invertibility and t -local comparability.

1. A CHARACTERIZATION OF t -INVERTIBILITY ELEMENTS AND IDEALS

Our main goal in this section is to characterize elements or ideals of a domain D such that each ideal of D in which they are contained is t -invertible. We achieve this goal in Theorem 1.3. Our first result deals, however, with ordinary invertibility of ideals of a commutative ring.

THEOREM 1.1. Suppose A is an ideal of the commutative unitary ring R . Each ideal of R containing A is invertible if and only if A is a finite product of invertible maximal ideals of R .

Proof. Suppose each ideal of R containing A is invertible. Since invertible ideals are finitely generated, R/A is Noetherian. Thus if some ideal of R containing A is not a finite product of maximal ideals, there is an ideal B maximal with respect to this property. Then B is not maximal, so let M be a maximal ideal of R containing B . Since M is invertible, $B = MC$ for some ideal $C \supseteq B$. Because B is invertible and

$M \neq R$, we have $B < C$. Hence C is a finite product of maximal ideals, and so is B . This contradiction shows that each ideal of R containing A is a finite product of invertible maximal ideals, and in particular, A has this property.

Conversely, if $A = M_1 M_2 \cdots M_k$ is a finite product of invertible maximal ideals M_i , then the ideals M_i are the only prime ideals of R that contain A . Hence each prime ideal of R containing A is invertible, and this implies, in the usual fashion (cf. [Kp, Exer. 10, p. 11]), that each ideal of R containing A is invertible. ■

REMARK 1.2. If the conditions of Theorem 1.1 are satisfied, then A is invertible and we can see that R/A is a zero-dimensional PIR by the following argument: That R/A is zero-dimensional with only finitely many maximal ideals is clear; to see that R/A is a PIR, it suffices to show that M/A is principal for each maximal ideal M of R containing A . Let $\{M_i\}_{i=1}^n$ be the (finite) set of maximal ideals of R distinct from M . Choose $m \in M - [M^2 \cup (\cup_{i=1}^n M_i)]$. Then (A, m) is invertible, so $(A, m) = MB$ for some ideal B of R containing A . Moreover, B is contained in neither M nor any M_i by choice of m . Hence $B = R$, $M = (A, m)$, and M/A is principal as we wished to show.

The converse of the observation just made fails. For example, if t is an indeterminate over the field K and if $R = K[t^2, t^3]$, then $A = t^2 R$ is invertible and $R/A \simeq K[X]/(X^2)$ is a zero-dimensional PIR. However, the ideal (t^2, t^3) of R contains A , but is not invertible.

The proof of Theorem 1.1 can be adapted to give a characterization of t -invertibility elements, as indicated in Theorem 1.3.

THEOREM 1.3. Suppose A is a nonzero proper ideal of the domain D . Each ideal of D containing A is t -invertible if and only if A_t is a finite t -product of maximal t -ideals of D , each of which is t -invertible.

Proof. Suppose each ideal of D containing A is t -invertible. We show that each t -ideal B of D containing A is a finite t -product of maximal t -ideals, each of which is t -invertible. Because the t -operation is of finite character, a t -invertible t -ideal is of finite type [J, p. 30], [Kr], so each ideal of D containing A is of finite t -type. Moreover, the union of a chain of t -ideals is again a t -ideal. It then follows by the usual argument that the set of t -ideals of D containing A is inductive under \subseteq . Hence, if there exists a t -ideal B of D containing A such that B is not a finite t -product of maximal t -ideals, there is a maximal such B . Then B is not a maximal t -ideal. Let M be a maximal t -ideal containing B . If $C = BM^{-1}$, then C is an integral ideal of D containing B , and because M is t -invertible, $B = (MC)_t$. We cannot have $B = C$, for t -invertibility of B would then imply that $M = M_t = D$. Hence C_t is a t -product of maximal t -ideals, as is B . This contradiction establishes the desired assertion, and in particular, A is a finite product of t -invertible maximal t -ideals.

Conversely, if $A_t = (M_1 M_2 \cdots M_k)_t$ is a finite t -product of t -invertible maximal t -ideals M_i , then since $M_1 \cdots M_k \subseteq A_t$, the ideals M_i are the only proper prime t -ideals of D that contain A_t . Hence each prime t -ideal of D containing A is t -invertible, and this implies [MZ, Prop. 2.1] that each ideal of D containing A is t -invertible. ■

An examination of the proof of Theorem 1.3 reveals that the only special property of the t -operation, among star operations, used in the proof is that the t -operation is of finite character. Hence Theorem 1.3 generalizes to the following result.

THEOREM 1.3'. Suppose $F \rightarrow F^*$ is a star operation of finite character on the domain D . Let A be a nonzero proper ideal of D . Each ideal of D containing A is \star -invertible if and only if A is a finite \star -product of \star -invertible maximal \star -ideals.

Since the t -operation and the d -operation on a domain D are of finite character, Theorem 1.3 and the case of Theorem 1.1 where R is an integral domain could be obtained from Theorem 1.3'. Theorem 1.1 itself seems, however, not to be a direct corollary of Theorem 1.3'.

Suppose $F \rightarrow F^*$ is a star operation of finite character on D . We call a nonzero ideal A of D a \star -invertibility ideal if either $A^* = D$ or else A satisfies the equivalent conditions of Theorem 1.3'; an element d of D is a \star -invertibility element if (d) is a \star -invertibility ideal. Theorem 1.3' gives rise to the following corollary.

COROLLARY 1.4. Let the notation and hypothesis be as in the preceding paragraph and let S be the set of \star -invertibility ideals of D . Then S is closed under multiplication of ideals and under taking overideals within D . In particular, the set S of \star -invertibility elements of D is a saturated multiplicative system in D .

Proof. Theorem 1.3' implies that S is closed under multiplication, and it follows from the proof of Theorem 1.3 that if $A \in S$ and if B is an overideal of A in D , then $B \in S$. ■

REMARK 1.5. If $F \rightarrow F^*$ is a \star -operation on D of finite character, the proof of Theorem 1.3 can easily be adapted to show that a nonzero ideal A of D is a \star -invertibility ideal if and only if A satisfies the following three conditions: (i) A belongs to only finitely many maximal t -ideals M_1, \dots, M_r ; (ii) each M_i is t -invertible; (iii) each M_i is minimal in the set of prime t -ideals of D containing A . From this characterization and from Corollary 1.4 it follows that if S is the set of \star -invertibility ideals of D and if A and B are ideals of D , then (a) $\text{rad}(A) \in S$ if and only if $A \in S$, and (b) $A \cap B \in S$ if and only if $A, B \in S$.

Houston and Zafrullah [HZ] proved a special case of the "only if" part of Theorem 1.3. To wit, let X be an indeterminate over D and let $f(X) \in D[X]$ be such that $A_f^{-1} = D$. Then according to [HZ]:

1. If A is an (integral) ideal of $D[X]$ with $f(X) \in A$, then A is t -invertible.
2. Every prime upper to (0) in $D[X]$ that contains $f(X)$ is a t -invertible t -ideal, and hence a maximal t -ideal.
3. Using (1), (2), and some localization, it follows that $(f(X)) = P_1^{(e_1)} \cap \dots \cap P_r^{(e_r)} = (P_1^{e_1} \dots P_r^{e_r})$, where $\{P_1, \dots, P_r\}$ is the set of prime uppers to (0) that contain $f(X)$.

From these observations we conclude:

- (A) If $(A_f)_v = D$, then $(f(X))$ is a t -product of primes.
- (B) If $(A_f)_v = D$, then $f(X)$ belongs to a finite number of maximal t -ideals P_1, P_2, \dots, P_r , and for each of these, $D[X]_{P_i}$ is a discrete rank-one valuation domain. (Because the ideals P_i are prime uppers to (0) .)

In our terminology, (1) merely says that f is a t -invertibility element of $D[X]$ if $A_f^{-1} = D$. We proceed to show, in an abstract setting, that an element of a domain satisfying conditions analogous to those of (B) is a t -invertibility element. For the sake of brevity, we say that a nonzero element d of a domain D is a t -element of D if either d is a unit of D , or else d belongs to only finitely many maximal t -ideals P_1, \dots, P_r of

D and each D_{P_i} is a rank-one discrete valuation domain. We show in Theorem 1.6 that t -elements are t -invertibility elements. Recall that an ideal A of D is strictly v -finite if there exists a finitely generated ideal $B \subseteq A$ such that $A_v = B_v$.

THEOREM 1.6. If d is a t -element of the domain D , then d is a t -invertibility element of D .

Proof. The statement is clear if d is a unit of D . If d is a nonunit, we show that each ideal A of D containing d is t -invertible. Thus, let P_1, \dots, P_r be the maximal t -ideals of D containing d . If $\{P_\alpha\}$ is the set of all maximal t -ideals of D , then $D = \bigcap_\alpha D_{P_\alpha}$ [Gr, Prop. 4], and AD_{P_i} is principal for $1 \leq i \leq r$. Hence Lemma 1.10 of [MMZ] shows that A is strictly v -finite, and [MMZ, Cor. 1.16] then implies that A is t -invertible. ■

The converse of Theorem 1.6 fails. For example, in the classical $D + M$ construction $\mathbf{Z} + X\mathbb{Q}[[X]]$, 2 is a t -invertibility element, but not a t -element. The problem in this case is that the unique maximal t -ideal containing 2 has height greater than 1. We show in Theorem 1.9 that a t -invertibility element without this defect is a t -element.

LEMMA 1.7. Suppose A is a t -invertible ideal of the domain D . If S is a multiplicative system in D , then AD_S is t -invertible in D_S .

Proof. Both A and A^{-1} are of finite type — say $A_t = B_t$ and $(A^{-1})_t = C_t$, where B and C are finitely generated subideals of A and A^{-1} , respectively. Then by Lemma 4 of [Z], $(BCD_S)_t = (BCD_S)_v = ((BC)_v D_S)_v = (DD_S)_v = D_S$. Hence BD_S is t -invertible. Again using [Z, Lemma 4], we have $(AD_S)_t \subseteq (A_t D_S)_t = (B_t D_S)_t = (BD_S)_t \subseteq (AD_S)_t$. Therefore $(AD_S)_t = (BD_S)_t$ and AD_S is t -invertible, as asserted. ■

LEMMA 1.8. Let (D, M) be a one-dimensional quasilocal domain. If M is t -invertible, then D is a DVR.

Proof. Because M is t -invertible, it is of finite type. Also, M is the unique maximal t -ideal of D . Corollary 1.6 of [MMZ] then implies that $MD_M = M$ is principal, so D is a DVR, as we wished to show. ■

THEOREM 1.9. Suppose d is a t -invertibility element of the domain D such that $(d) = (P_1 P_2 \dots P_r)_t$, where each P_i is a maximal t -ideal of D of height one. Then d is a t -element of D .

Proof. It is clear that P_1, \dots, P_r are the only maximal t -ideals of D containing d . To see that each D_{P_i} is a DVR, we observe that P_i is t -invertible since $(P_1 \dots P_r)_t = (d)$ is t -invertible. Lemma 1.7 shows that $P_i D_{P_i}$ is t -invertible in D_{P_i} , and Lemma 1.8 then implies that D_{P_i} is a DVR. ■

To return briefly to results of [HZ], we remark that while each $f \in D[X]$ such that $A_f^{-1} = D$ is a t -invertibility element of $D[X]$, there may exist others. For example, if $D[X]$ is a Krull domain (that is, if D is a Krull domain), then each nonzero element of $D[X]$ is a t -invertibility element. (Conversely, if E is an integral domain with set $E - \{0\}$ of t -invertibility elements, then E is a Krull domain.)

2. COMPARABLE ELEMENTS

Suppose D is an integral domain. Recall that Anderson and Zafrullah in [AZ2] called a nonzero element d of D *comparable* if (d) compares with each ideal of D under inclusion. In Theorem 3.1 we establish a connection between the concepts of comparability and t -invertibility, but this section is devoted primarily to a determination of those domains D that admit a nonunit comparable element (Theorem 2.3). We record the following useful result of Anderson and Zafrullah that is established in the proof of Theorem 2 of [AZ2].

PROPOSITION 2.1. (Anderson-Zafrullah) *The set of comparable elements of an integral domain D is a saturated multiplicative system in D .*

Before proving Theorem 2.3, we state and prove Proposition 2.2. While this result should be known, we have been unable to locate a satisfactory reference in the literature (cf. [ABDFK, Lemma 2.1]).

PROPOSITION 2.2. *Suppose (D, M) is a quasilocal domain. Let $\varphi : D \rightarrow D/M$ be the canonical homomorphism, and let (J, P) be a quasilocal subring of D/M . Then $\varphi^{-1}(J) = R$ is quasilocal with maximal ideal $\varphi^{-1}(P)$.*

Proof. It is clear that $\varphi^{-1}(P)$ is a maximal ideal of R , that $M \subseteq \varphi^{-1}(P)$, and that $R \subseteq D$. We note that $1 + M \subseteq U(R)$, the set of units of R . To see this, let $x = 1 + m$, where $m \in M$; x is a unit of D and its inverse y is necessarily of the form $1 + n$ for some $n \in M$ since $\varphi(x) = \varphi(1)$. Hence $y \in R$, and x is a unit of R , as asserted. To show $\varphi^{-1}(P)$ is the unique maximal ideal of R , take $r \in R - \varphi^{-1}(P)$. Then $\varphi(r) \in J - P$, so $\varphi(r)$ is a unit of J . Thus there exists $s \in R$ such that $\varphi(rs) = \varphi(1)$, so $rs \in 1 + M \subseteq U(R)$. Therefore $r \in U(R)$, as we wished to show. ■

In the statement of Theorem 2.3, we call an ideal A of a ring R a *comparable ideal* of R if A compares with each ideal of R under inclusion.

THEOREM 2.3. *Suppose the integral domain D contains a nonzero nonunit comparable element; let Y be the set of nonzero comparable elements of D . Then:*

- (1) $P = \cap \{(c) \mid c \in Y\}$ is a prime ideal of D , and $D \setminus P = Y$.
 - (2) D/P is a valuation domain.
 - (3) $P = PDP$.
 - (4) D is quasilocal, P is a comparable ideal of D , and $\dim D = \dim(D/P) + \dim(D_P)$.
- Moreover, if J is any integral domain such that there exists a nonmaximal prime ideal Q of J such that (a) J/Q is a valuation domain, and (b) $Q = QJ_Q$, then each element of $J \setminus Q$ is comparable. If, in addition, Q is minimal with respect to properties (a) and (b), then $J \setminus Q$ is the set of nonzero comparable elements of J .

Proof. To prove (1), choose $x, y \in D \setminus P$. There exist elements c and d of Y such that $x \notin (c)$ and $y \notin (d)$. Hence $(x) > (c)$, $(y) > (d)$, and consequently, $(xy) > (cd)$. Because $cd \in Y$ by Proposition 2.1, it follows that $xy \notin P$, so P is prime in D . We note that if $x \in D \setminus P$, then x divides an element of Y , and because the multiplicative system Y is saturated, $x \in Y$. Therefore $D \setminus P \subseteq Y$. If $y \in Y$, then $(y) > (y^2) \supseteq P$, so $y \notin P$ and the equality $D \setminus P = Y$ holds. ■

t -invertibility and Comparability

It follows from (1) that the set of principal ideals of D/P is linearly ordered under inclusion. Consequently, D/P is a valuation domain.

To prove (3), take an element a/x of PDP , where $a \in P$ and $x \in D \setminus P$. Then $(a) < (x)$, so $a = xy$ for some $y \in D$ that is necessarily in P . Thus $a/x = y \in P$ and $PDP \subseteq P$.

(4): Since D/P and D_P are quasilocal, Proposition 2.2 shows that D is also quasilocal. To conclude that P is comparable, we need only show that $P \subseteq (x)$ for each $x \in D \setminus P$, and this is immediate from (1). Finally, the equality $\dim D = \dim(D/P) + \dim(D_P)$ follows from the fact that each prime ideal of D compares with P under inclusion.

Assume now that J is an integral domain satisfying (a) and (b). If $s \in Q$ and $t \in J \setminus Q$, then $s/t \in QJ_Q = Q$, and hence $s \in tQ \subseteq (t)$. Thus, to show t is comparable, we need only show that (t) compares with (u) for each $u \in J \setminus Q$. This follows because $Q \subseteq (t) \cap (u)$ and because the ideals $(t)/Q$ and $(u)/Q$ of the valuation domain J/Q are comparable. Therefore each element of $J \setminus Q$ is comparable. Let Q_0 be the intersection of the family $\{(b) \mid b \text{ is a nonzero comparable element of } J\}$. It follows from (1) that $J \setminus Q \subseteq J \setminus Q_0$, so $Q_0 \subseteq Q$. Moreover, (2) and (3) show that Q_0 satisfies (a) and (b). Thus, if Q is minimal with respect to satisfying (a) and (b), then $Q = Q_0$ and $J \setminus Q$ is the set of nonzero comparable elements of J . This completes the proof of Theorem 2.3. ■

Let D, Y , and P be as in the statement of Theorem 2.3. In the literature, the domain D is called the *pullback* in D_P of the domain $D/P = D_P/PD_P[D]$, or the *composite* of D/P and D_P over $PDP[O]$, [MS]. Theorem 2.3 implies that the domains that admit nonzero nonunit comparable elements are precisely the pullbacks in R of nontrivial valuation domains on R/M , where (R, M) is any quasilocal domain (see also [GO, Prop. 5.1(f)]). Under the notation and hypothesis of Theorem 2.3, we note that if $P \neq (0)$, then neither D nor D_P is a valuation domain. For D this is clear, and for D_P the statement follows from the fact that the composite of two valuation domains is again a valuation domain [ZS, p.43], [N, p.35].

THEOREM 2.4. *In a quasilocal domain (D, M) , the following conditions are equivalent for a nonzero element $x \in D$.*

- (1) x is comparable.
- (2) Each finitely generated ideal of D containing x is principal.
- (3) Each strictly v -finite ideal of D containing x is principal.

Proof. (1) \Rightarrow (2): It suffices to show that (x, d_1, \dots, d_n) is principal for $d_1, \dots, d_n \in D$. For $n = 1$, this follows since x is comparable. If $(x, d_1, \dots, d_{n-1}) = (y)$ is principal, then y is comparable since y divides x , and by the case $n = 1$, $(x, d_1, \dots, d_n) = (y, d_n)$ is also principal.

(2) \Rightarrow (3): Let A be a strictly v -finite ideal of D containing x . Thus there exists a finitely generated ideal $B \subseteq A$ such that $A_v = B_v$. Without loss of generality we can assume that $x \in B$, whence $B = (b)$ is principal by (2). Then $A_v = (b)_v = (b) \subseteq A \subseteq A_v$ and $A_v = (b)$ is principal.

(3) \Rightarrow (1): Pick an element $y \in D$. The ideal (x, y) is strictly v -finite, hence principal. Because D is quasilocal, it follows that $(x, y) = (x)$ or $(x, y) = (y)$ [G, Prop. 7.4]; consequently, $(y) \subseteq (x)$ or $(x) \subseteq (y)$ — that is, x is comparable. ■

COROLLARY 2.5. *If the integral domain D contains a nonzero nonunit comparable element, then the maximal ideal of D is a t -ideal.*

Proof. Theorem 2.3 shows that D has a unique maximal ideal M . Let c be a nonzero nonunit comparable element of M . If $x_1, x_2, \dots, x_n \in M$, then $(x_1, x_2, \dots, x_n, c) = (m)$ is principal by Theorem 2.4, and $(x_1, \dots, x_n)_v \subseteq (m) \subseteq M$. Therefore M is a t -ideal. ■

We remark that to the equivalent conditions of Theorem 2.4, we could add: (4) M is a t -ideal, and each integral v -ideal of finite type containing x is principal.

COROLLARY 2.6. *A GCD-domain D contains a nonzero nonunit comparable element if and only if D is a valuation domain.*

Proof. We need only show that if D contains a nonzero nonunit comparable element c , then D is a valuation domain. Let M be the maximal ideal of D . Take nonzero elements x, y of M and let $(x, y)_v = (d)$. Write $x = x_1 d, y = y_1 d$. Then $(x_1, y_1)_v = D$. If $(x_1, y_1) \subseteq M$, then by Theorem 2.4, (x_1, y_1, c) is a proper principal ideal of D containing (x_1, y_1) , and hence $(x_1, y_1)_v \subseteq (x_1, y_1, c) < D$. This contradiction shows that $(x_1, y_1) = D$, so x_1 or y_1 is a unit of D . We conclude that $(x, y) = (x)$ or $(x, y) = (y)$. Consequently, D is a valuation domain. ■

3. t -INVERTIBILITY AND t -LOCAL COMPARABILITY

Suppose D is an integral domain and $d \in D - (0)$. We say that d is *t -locally comparable* if d is a comparable element of D_M for each maximal t -ideal M of D . Theorem 3.1 provides a connection between the concepts of t -invertibility and t -local comparability.

THEOREM 3.1. *Suppose D is an integral domain.*

- (1) *If A is a strictly v -finite ideal of D such that A contains a t -locally comparable element d , then A is t -invertible.*
- (2) *Conversely, if $r \in D - (0)$ is such that each strictly v -finite ideal A of D containing r is t -invertible, then r is t -locally comparable.*

Proof. (1): Suppose M is a maximal t -ideal of D containing A , and let B be a finitely generated ideal contained in A such that $A_v = B_v$. Without loss of generality we assume that $d \in B$. Then $((A_v)D_M)_v = (B_v D_M)_v = (B D_M)_v$ by [Z, Lemma 4]. Since d is a comparable element in D_M , Theorem 2.4 implies that $B D_M = a D_M$, where $a \in A$. Hence $a D_M \subseteq A D_M \subseteq (A_v D_M)_v = (B D_M)_v = (a D_M)_v = a D_M$. Therefore $A D_M$ is principal for each maximal t -ideal containing A , and Corollary 1.6 of [MMZ] implies that A is t -invertible.

(2): Suppose M is a maximal t -ideal of D containing r . To show that r is a comparable element of D_M , it suffices, by Theorem 2.4, to show that each finitely generated ideal B of D_M containing r is principal. Now $B = A D_M$, where A is a finitely generated ideal of D containing r . By hypothesis, A is t -invertible, so $(A A^{-1})_t = D$. Since M is a maximal t -ideal, $A A^{-1} \not\subseteq M$. Therefore $A A^{-1} D_M = D_M$, so $A D_M$ is invertible, and hence principal [G, Prop. 7.4]. ■

Recall that an integral domain D is a *Prüfer v -multiplication domain* (PVMD) if the set of v -ideals of D of finite type form a group under v -multiplication. It is well known [Cr, Thm. 5] that D is a PVMD if and only if D_M is a valuation domain for each maximal t -ideal M of D . Theorem 3.2 provides a characterization of PVMD's in terms of t -local comparability.

THEOREM 3.2. *An integral domain D is a PVMD if and only if each nonzero prime ideal of D contains a t -locally comparable element.*

Proof. If D is a PVMD, then each nonzero element of D is t -locally comparable, so the condition holds. Conversely, suppose that each nonzero prime ideal of D meets S , the set of t -locally comparable elements of D . Proposition 2.1 implies that S is a saturated multiplicative system in D ; hence each nonzero ideal of D meets S . Suppose A is a v -ideal of D of finite type. Then $A = B_v = B_t$ for some finitely generated ideal B . Because B is strictly v -finite, it is t -invertible by (1) of Theorem 3.1. Therefore $D = (B B^{-1})_t \subseteq (B B^{-1})_v = (A B^{-1})_v$, and A is v -invertible. Consequently, D is a PVMD. ■

Recall that a nonzero element d of D is a *t -valuation element* if D_M is a valuation domain for each maximal t -ideal M containing d . Clearly a t -valuation element is t -locally comparable, and since each nonzero element of a PVMD is a t -valuation element, Theorem 3.2 has the following corollary.

COROLLARY 3.3. *An integral domain D is a PVMD if and only if each nonzero prime ideal of D contains a t -valuation element.*

Added in Proof. The referee has kindly pointed out to us that Proposition 2.2 is a direct consequence of results on glueing in the paper *Topologically defined classes of commutative rings*, Annali di Mat. Pura Applic. 123(1980), 331-355, by M. Fontana. ■

REFERENCES

- [A] D.D. Anderson, Star operations induced by overrings, *Comm. Algebra* 16(1988), 2535-2553.
- [AA] D.D. Anderson and D.F. Anderson, Some remarks on star operations and the class group, *J. Pure Appl. Algebra* 51(1988), 27-33.
- [AZ1] D.D. Anderson and M. Zafrullah, On t -invertibility III, *Comm. Algebra*. (to appear).
- [AZ2] D.D. Anderson and M. Zafrullah, On a theorem of Kaplansky. (preprint)
- [ABDFK] D.F. Anderson, A. Bouvier, D.E. Dobbs, M. Fontana, and S. Kabbaj, On Jaffard domains, *Exposit. Math.* 6(1988), 145-175.
- [D] D.E. Dobbs, On locally divided domains and CPL-overrings, *Internat. J. Math. and Math. Sci.* 1(1981), 119-135.
- [G] R. Gilmer, *Multiplicative Ideal Theory*, Queen's Papers Pure Appl. Math. Vol. 90, 1992.
- [GO] R. Gilmer and J. Ohm, Primary ideals and valuation ideals, *Trans. Amer. Math. Soc.* 117(1965), 237-250.

- [Gr] M. Griffin, Some results on v -multiplication rings, *Canad. J. Math.* 19(1967), 710-722.
- [HZ] E. Houston and M. Zafrullah, On t -invertibility II, *Comm. Algebra* 17(1989), 1955-1969.
- [J] P. Jaffard, *Les Systemes d'Ideaux*, Dunod, Paris, 1960.
- [K] B.G. Kang, On the converse of a well known fact about Krull domains, *J. Algebra* 124(1989), 284-299.
- [Kp] I. Kaplansky, *Commutative Rings*, Allyn and Bacon, Boston, 1970.
- [Kr] W. Krull, Ein Hauptsatz über umkehrbare Ideale, *Math. Zeit.* 31(1930), 558.
- [MMZ] S. Malik, J. Mott and M. Zafrullah, On t -invertibility, *Comm. Algebra* 16(1988), 149-170.
- [MS] J. Mott and M. Schexnayder, Exact sequences of semi-value groups, *J. Reine Angew. Math.* 283/284(1976), 388-401.
- [MZ] J. Mott and M. Zafrullah, On Krull domains, *Arch. Math.* 56(1991), 559-568.
- [N] M. Nagata, *Local Rings*, Interscience, New York, 1962.
- [O] J. Ohm, Semi-valuations and groups of divisibility, *Canad. J. Math.* 21(1969), 576-591.
- [Z] M. Zafrullah, On finite conductor domains, *manuscripta mathematica* 24(1978), 191-204.
- [ZS] O. Zariski and P. Samuel, *Commutative Algebra*, Vol. II, Van Nostrand, Princeton, N.J., 1960.

15 AF-Rings and Locally Jaffard Rings

FLORIDA GIROLAMI Dipartimento di Matematica, Università di Roma "La Sapienza",
Piazzale A. Moro 5, 00185 Roma, Italy; e-mail GIROLAMI@ITCASPUR.binet.

0 INTRODUCTION

AF-rings have been introduced by A.R. Wadsworth in [W] for computing the (Krull) dimension of the tensor product of A_1 and A_2 , $\dim(A_1 \otimes_k A_2)$, where A_1 and A_2 are commutative algebras over a field k . Previously R. Y. Sharp proved in [S] that if K_1 and K_2 are extension fields of k , then

$$\dim(K_1 \otimes_k K_2) = \min\{\text{t.d.}(K_1:k), \text{t.d.}(K_2:k)\}.$$

Since, for each prime ideal P of a k -algebra A , $\text{ht}(P) + \text{t.d.}(A/P:k) \leq \text{t.d.}(A_P:k)$ (cf. [ZS, p.10] and [W, p.392]), for giving a generalization of Sharp's result, A.R. Wadsworth has studied the k -algebras A which satisfy the altitude formula over k , that is

$$\text{ht}(P) + \text{t.d.}(A/P:k) = \text{t.d.}(A_P:k)$$

for each prime ideal P of A and called these algebras AF-rings. In [W, Theorem 3.8.] it is shown that if D_1 and D_2 are AF-domains, then

$$\dim(D_1 \otimes_k D_2) = \min\{\text{t.d.}(D_1:k) + \dim(D_2), \text{t.d.}(D_2:k)\}.$$

On the other hand in [ABDFK] Jaffard domains have been introduced and studied. We recall from [ABDFK, Definition 0.2.] that a finite-dimensional domain D is a Jaffard domain if $\dim(D[X_1, \dots, X_n]) = n + \dim(D)$ for each nonnegative integer n . The class of Jaffard domains is not stable under localizations and also in [ABDFK, Definition 1.4.] a domain D is defined to be a locally Jaffard domain if D_P is a Jaffard domain for each prime ideal P of D .