

**QUESTION (HD0309):** If  $D$  is an integral domain, and  $M$  a prime ideal of  $D[X]$  with  $M \cap D = (0)$  then how is  $D[X]_M$  a valuation domain?

This question has already been answered indirectly in **HD0305**, in the context of PVMD's. For the sake of clarity we include the general answer here.

Crucial to the understanding of the answer to this question is a command of the theory of rings of fractions or at least a working knowledge of the following results. If you know localization etc. then skip the detail and get down to the answer.:

(i). If  $S$  is a multiplicatively closed set of  $D$  and  $P$  is a prime ideal of  $D$  such that  $P \cap S = \emptyset$  then  $D_P = (D_S)_{P_S}$  ( $P_S$  denotes  $PD_S$ ). You can find the result in Gilmer's book on Multiplicative ideal theory [Marcel Dekker, 1972, page 54 (Cor. 5.3)]

(ii) If  $D$  is a PID and  $P$  a prime ideal of  $P$  then  $D_P$  is a (discrete) valuation domain. (If you do not know then do this: Note that a PID is a Prufer domain (Prufer means every finitely generated ideal is invertible, and every principal ideal is invertible). Now read Theorem 64 of [Kaplansky, Commutative Rings, Allyn and Bacon, 1970]. (The theorem can be stated as: An integral domain  $R$  is Prufer  $\Leftrightarrow R_P$  is a valuation domain for each prime ideal  $P$  of  $R \Leftrightarrow R_M$  is a valuation domain for every maximal ideal  $M$  of  $R$ , but if you did not know the theorem, you must read the proof. For the discrete part note that a PID is Noetherian, so for each prime ideal  $P$ ,  $D_P$  is Noetherian and a Noetherian valuation ring can be easily shown to be a discrete rank one valuation domain.

(iii). If  $S$  is a multiplicatively closed set of an integral domain  $D$  and  $X$  an indeterminate over  $D$  then  $D[X]_S = D_S[X]$ . (Standard result can be proved using the definition of the ring of fractions.)

**ANSWER:** If  $D$  is any domain, with quotient field  $K$ , and  $M$  is a prime ideal of  $D[X]$  with  $M \cap D = (0)$  then  $S = D \setminus \{0\}$  is a multiplicatively closed set contained in  $D$ , and  $S \cap M = \emptyset$ . So  $D[X]_M = (D[X]_S)_{M_S}$  (By (i) above). Now using (iii)  $D[X]_M = (D[X]_S)_{M_S} = (D_S[X])_{M_S} = (K[X])_{M_S}$  a localization of the PID  $K[X]$  and hence is a valuation domain by (ii).

**NOTES:** (1) Because it is so elementary the proof of (iii) may be hard to find. So here is one: We show that  $D[X]_S \subseteq D_S[X]$  and  $D_S[X] \subseteq D[X]_S$  a standard method of proving the equality of two sets.

$D[X]_S \subseteq D_S[X]$  : Let  $f \in D[X]_S = \left\{ \frac{\alpha(X)}{\beta} : \alpha(X) \in D[X], \beta \in S \right\}$ . Then  $f = \frac{\sum_{i=0}^{i=n} a_i X^i}{s} = \frac{1}{s} (\sum_{i=0}^{i=n} a_i X^i) = \sum_{i=0}^{i=n} \frac{a_i}{s} X^i \in D_S[X]$ . Therefore  $D[X]_S \subseteq D_S[X]$ . Next we show that

$D_S[X] \subseteq D[X]_S$  : Let  $g \in D_S[X] = \left\{ \sum_{i=0}^{i=n} \gamma_i X^i : \gamma_i \in D_S \right\}$ . Note that each of  $\gamma_i = \frac{d_i}{s_i}$  where  $d_i \in D$  and  $s_i \in S$ . Thus we have  $g = \sum_{i=0}^{i=n} \gamma_i X^i = \sum_{i=0}^{i=n} \frac{d_i}{s_i} X^i$ . Letting  $s = \prod_{i=0}^{i=n} s_i$  and letting  $\tilde{s}_i = \frac{s}{s_i}$  we get  $g = \sum_{i=0}^{i=n} \gamma_i X^i = \sum_{i=0}^{i=n} \frac{d_i}{s_i} X^i = \frac{1}{s} \sum_{i=0}^{i=n} \frac{s d_i}{s_i} X^i = \frac{1}{s} \sum_{i=0}^{i=n} \tilde{s}_i d_i X^i$  which clearly belongs to  $D[X]_S$ . This gives us the reverse inclusion  $D_S[X] \subseteq D[X]_S$ .

(2). Please note that the formula  $D[X]_S = D_S[X]$  works only if  $S$  is a multiplicative set contained in  $D$ .

